



FAIRPORT POLICE DEPARTMENT

31 S. Main Street
Fairport, New York 14450
585-223-1740



Samuel A. Farina, Jr.
Chief of Police

New York State Accredited Agency
Equal Opportunity Employer

May 19, 2020

Homeland Security and Emergency Services
Monroe County Sheriff's Office

RE: FY2020 Targeted Violence and Terrorism Prevention Grant

To Whom It May Concern:

The Fairport Police Department fully supports the efforts of the New York State Division of Homeland Security and Emergency Services grant application for the Targeted Violence Terrorism Prevention Program.

Our agency has been a part of the Rochester Threat Advisory Committee (ROCTAC) since inception. Our agency will continue to assist the ROCTAC and provide balanced intervention and case management strategies to cases presented in order to mitigate, resolve, and improve targeted violence threat response. Not only are we invested in this process, but we continue to promote its merits and successful outcomes to all other law enforcement agencies around the state.

Our agency will also provide any additional support that may be requested by NYS DHSES and ROCTAC partners.

My contact information is (b)(6) and email is (b)(6) if there are any questions or need for additional information.

(b)(6)

Samuel A. Farina
Chief of Police

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION

NYS Division of Homeland Security and Emergency Services

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: * First Name: Middle Name:
* Last Name: Suffix:
* Title:

* SIGNATURE:

* DATE:

Application for Federal Assistance SF-424

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

05/20/2020

4. Applicant Identifier:

FY2020 TVTP

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

FY2020 TVTP

8. APPLICANT INFORMATION:

* a. Legal Name:

NYS Division of Homeland Security and Emergency Services

* b. Employer/Taxpayer Identification Number (EIN/TIN):

14-6013200

* c. Organizational DUNS:

6168040550000

d. Address:

* Street1:

1220 Washington Avenue

Street2:

State Campus Building 7A

* City:

Albany

County/Parish:

* State:

NY: New York

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

12226-1800

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name:

Carol

Middle Name:

* Last Name:

Stumpf

Suffix:

Title:

Grants Representative

Organizational Affiliation:

NYS DHSES Grants Program Administration

* Telephone Number:

(b)(6)

Fax Number:

* Email:

(b)(6)

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Department of Homeland Security - FEMA

11. Catalog of Federal Domestic Assistance Number:

97.132

CFDA Title:

Financial Assistance for Targeted Violence and Terrorism Prevention

* 12. Funding Opportunity Number:

DHS-20-TTP-132-00-01

* Title:

Fiscal Year (FY) 2020 Targeted Violence and Terrorism Prevention (TVTP) Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

FY2020 Targeted Violence and Terrorism Prevention (TVTP) Grant Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="300,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="300,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☐ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email: * Signature of Authorized Representative: * Date Signed:

Targeted Violence and Terrorism Prevention (TVTP)

NYS Division of Homeland Security and Emergency Services in partnership with the Monroe County Sheriff's Office

Application Track

Replication

Project Type

Threat Assessment and Management Team

Project Name

Replication of the Successful Rochester Threat Advisory Committee (ROCTAC) Model across Upstate New York

Application Request Amount

\$164,850

Project Abstract

The NYS Division of Homeland Security and Emergency Services (DHSES) in partnership with the Monroe County Sheriff's Office (MCSO), is requesting FY2020 Targeted Violence and Terrorism Prevention (TVTP) funds to support the continued development of the Rochester Threat Advisory Committee (ROCTAC) model, both within the Rochester area and across upstate New York.

ROCTAC is a Threat Assessment and Management (TAM) advisory team created to close threat management gaps created by systems siloes and fragmented responses. It provides a central point of information coordination, led by the Monroe County Sheriff's Office. The ROCTAC includes the Monroe County District Attorney's Office, U.S. Attorney's Office, Federal Bureau of Investigation, United States Secret Service, New York State Police, Fairport Police Department, Roberts Wesleyan College, University of Rochester, Fairport Central School District, Villa of Hope, Willow Domestic Violence Center, Veterans Outreach Center, and a host of law enforcement agencies, school districts, colleges and universities, human resources and mental health professionals, houses of worship, and community outreach partners

ROCTAC acts as a central clearinghouse for information flow specific to situations known as Threat Cases (TC). Threat Cases are defined as situations, above normal occurrences, where the risk of violence is assessed to be imminent and/or a cluster of risk factors and warning behaviors are present, commonly associated with elevated risks for targeted violence. The ROCTAC was launched in early 2019 and has addressed 16 TCs through April 30, 2020. FY2020 TVTP funds will be used to advance the ROTAC program and to educate and train other areas of upstate New York to adapt this model and create regional TAM teams in their areas.

Needs Assessment (1)

The development of the ROCTAC was based on a formal needs assessment conducted by Roberts Wesleyan College's Justice and Security Institute (JSI). The "Threat Assessment and Management Project (TAMP) Summary Report¹" was the culmination of efforts facilitated by JSI over 17 months to understand threat assessment strategies and systems currently in use by stakeholders across multiple sectors in Monroe County.

The needs assessment included six key findings that informed the development of the ROCTAC:

- 1. Threat Assessment was Generally Limited to School Environments:** Formalized threat assessment systems and threat response protocols existed in Monroe County but were commonly located in disparate K-12 school districts and institutes of higher education.
- 2. Focus on Ad Hoc Structures to Address Issues:** Stakeholders in Monroe County lacked the benefit of a formalized countywide TAM team to coordinate identification, assessment, management, and intervention strategies. Ad-hoc and informal strategies depended on organizations or individuals within organizations, to organize threat response and intervention, but they lacked case management capabilities.
- 3. Fragmented Case Management:** The state of adult threat assessment and case management across sectors was fragmented. This impacted efforts to identify and provide support to individuals at risk for committing acts of targeted violence – who are often not as easily identifiable as those who are experiencing a mental health crisis.
- 4. Reliance on the Monroe County Office of Mental Health:** The greater Rochester public safety community's response strategies often relied on preexisting programs and services provided or supported by the Monroe County Office of Mental Health. These programs and services were not specifically designed to address systemic threat mitigation and cross-disciplinary case management.
- 5. Public Safety Agencies Focused on Response to Targeted Violence:** Most targeted violence response training in the region's public safety community centered on active shooter response strategies and critical incident management. Monroe County lacked training in prevention-based assessment models designed to identify cases with elevated or accelerating risk factors.
- 6. Lack of Consensus – but Interest:** Research pointed to a lack of consensus regarding the viability of a formalized countywide adult TAM team. There was interest in this concept, but not complete confidence that it could be implemented on a countywide basis.

Based on these findings, the ROCTAC was begun in early 2019. It's services are available to individuals in crisis across Monroe County. Through April 30, 2020, it has been presented with 16 cases and has reviewed 16 cases that have already shown proven results, including the manner(s) in which the presenting agencies have gained new information for their cases and options to help resolve situations.

¹ Roberts Wesleyan College Justice and Security Institute: "Threat Assessment and Management Project (TAMP) Summary Report" 2017

In three specific cases, ROCTAC discussed individuals who had exhibited public anti-social behavior with a high probability of violence. In those cases, plans were made in which the District Attorney's Office would solicit his/her participation in being assessed for Mental Health Court regarding the individual's previously existing charges. In these cases, domestic violence agencies were made available to provide services to the individual's spouse or loved one(s) in the event a referral is made, along with the Monroe County Office of Mental Health (OMH). ROCTAC also contacted the school district that the individual's children attended to alert them of risk factors affecting the children and potentially serve as tripwire indicators, should any tripwire indicators be observed. **The result: several lines of direct communication were made in the process of providing at least four avenues of intervention in which each resource was aware of the others involvement.**

Building on the ROCTAC model, DHSES is working to build TAM teams across upstate New York. This effort will focus on the three other upstate urban areas (in addition to Rochester) that were part of New York State's Complex Coordinated Terrorist Attack (CCTA) program: The Buffalo-Erie-Niagara, Syracuse-Onondaga, and Capital District regions. During the CCTA Capstone Exercise in October 2019, Sheriff Todd Baxter (from Monroe County) presented on the ROCTAC effort at the Command Portion of the event. This presentation sparked significant interest from Command participants from the other upstate urban areas about replicating this program in their respective regions. DHSES engaged these regions further as part of the development of this application for TVTP funds and their interest was confirmed.

Program Design (2)

Problem Statement (2A)

This FY2020 TVTP proposal seeks to address two primary challenges in New York State:

- 1. Sustainment and Advancement of the ROCTAC Program:** The ROCTAC was created based on extensive research, leadership from the MCSO, and buy-in from the extensive group of ROCTAC's Participating Member Agencies (PMAs). The program has proven extremely successful in its infancy, but it is now time for ROCTAC's efforts to advance. Funding is needed to support this effort. New York State is requesting FY2020 TVTP funds for MCSO to hire a Program Manager to guide ROCTAC's efforts on behalf of the region. Additionally, MCSO will utilize funds to create a public facing website and promotional materials to share information, resources, and success stories with the broader community.
- 2. Development of Threat Assessment Teams in Other Upstate Urban Areas:** Currently, there is interest from the other three upstate regions (Buffalo-Erie-Niagara, Syracuse-Onondaga, and the Capital Region) in implementing ROCTAC-like programs in their areas, however, these regions lack the expertise and experience developed in the Rochester-Monroe area. To address this challenge, DHSES is requesting FY2020 TVTP funds to conduct training and workshops for these three urban areas to prepare them to successfully develop, implement, and maintain their own TAM teams.

Program Goals and Objectives (2B)

The overarching goal of New York State's FY2020 TVTP request is to:

- *Develop prevention frameworks across upstate New York to enhance the capabilities of the "whole community" to identify and respond to individuals at risk of mobilizing to violence.*

The specific objectives that support this overarching goal include:

- *Hire a new Program Manager at MCSO to guide the ROCTAC*
- *Develop a website and promotional materials for the ROCTAC to socialize the program, its services, and success stories to the broader community*
- *Host an initial workshop in each of the three other upstate urban areas (Buffalo-Erie-Niagara, Syracuse-Onondaga, Capital District) to further educate key officials on threat assessment fundamentals and to begin work on drafting unique strategies for each region's Threat Assessment and Management (TAM) team*
- *Conduct a secondary workshop in each of the three upstate urban areas to follow-up on the initial workshop and to continue making progress towards TAM team implementation*
- *Develop a training course on TAM team fundamentals and implementation that can be delivered at the State Preparedness Training Center (SPTC). The course will be designed for other regions of the State interested in building out this concept.*

All objectives will be completed within the performance period of this grant program.

The performance measures for this proposal include:

Overarching Measures

- *The successful maintenance and advancement of the ROCTAC.*
- *The successful development of TAM teams in the Buffalo-Erie-Niagara, Syracuse-Onondaga, and Capital Region areas.*
- *The development of a TAM team course at the SPTC.*
 - *The number of deliveries, students trained, and contact hours will be tracked.*

Specific Measures for each TAM Team

- *Number of cases opened*
- *Number of referrals for outside services by service type*
- *Anonymized case status data referred to law enforcement*
- *Anonymized case studies illustrating the impact of threat management*

This project goal is based on – and directly aligns to – the Department of Homeland Security's 2019 Strategic Framework²:

- *Goal 3: Prevent Terrorism and Targeted Violence*

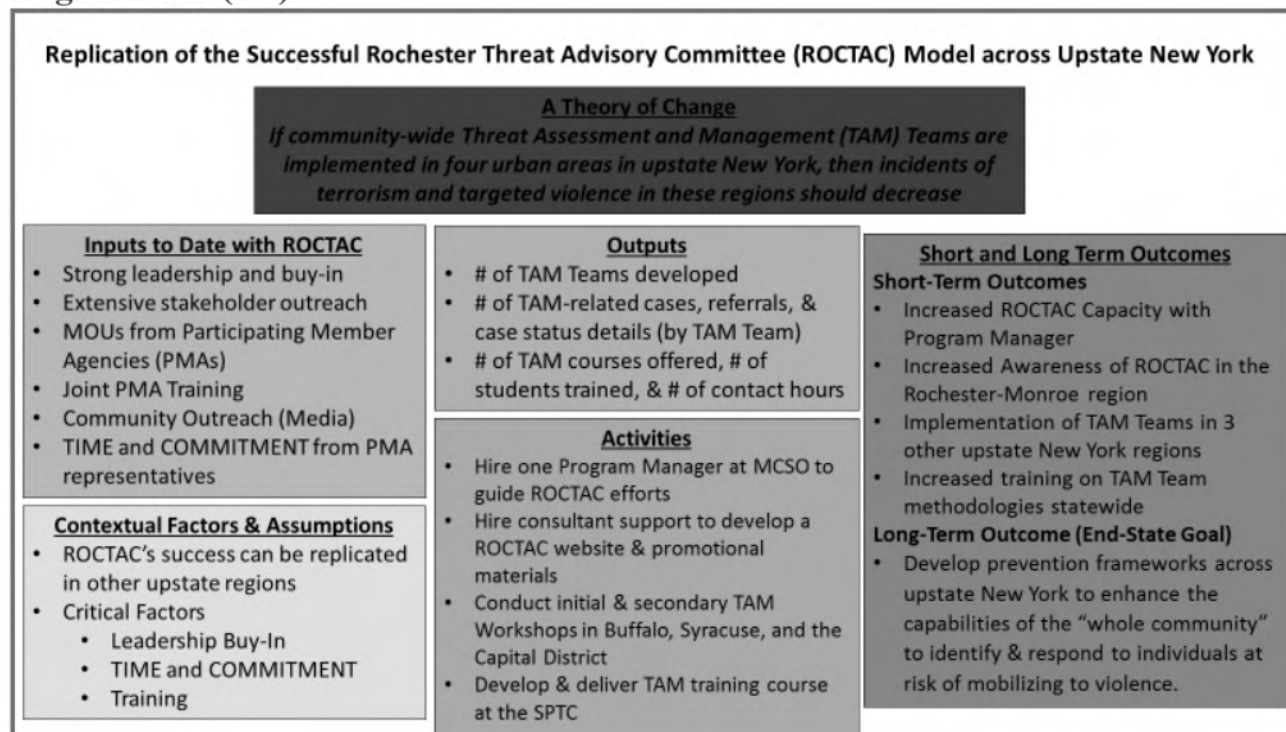
² DHS Framework for Countering Terrorism and Strategic Violence. September 2019. Available online: https://www.dhs.gov/sites/default/files/publications/19_0920_plcy_strategic-framework-countering-terrorism-targeted-violence.pdf

- Objective 3.3: *Develop local prevention frameworks that enhance the ability of state, local, tribal, and territorial (SLTT) partners to identify and respond to individuals at risk of mobilizing to violence”*

This goal also directly aligns to the 2017-2020 NYS Homeland Security Strategy³:

- Goal 1: *Strengthen Intelligence and Information-Sharing Capabilities*
- Objective 1.4: *Expand outreach efforts and knowledge share beyond traditional law enforcement and intelligence communities to include first responders (including the fire service and emergency managers) and the private sector*

Logic Model (2C)



Organizational Design and Key Personnel (3)

This project will be managed by DHSES in close coordination with the MCSO. DHSES serves as the State Administrative Agency (SAA) for grant funding provided by the federal Department of Homeland Security (DHS) to New York State. DHSES has a large Grants Program Administration (GPA) unit that effectively manages over \$1 billion in federal homeland security funds provided through DHS.

Within DHSES, this program will be managed through a partnership of staff from GPA, the Office of Counter Terrorism (OCT), and the SPTC. This collaborative approach mirrors the partnership utilized by DHSES to oversee the State’s CCTA Program; this ensures that funding, intelligence, and training professionals are partnered together to provide the best possible programmatic

³ 2017-2020 NYS Homeland Security Strategy. Available online: <http://www.dhSES.ny.gov/media/documents/NYS-Homeland-Security-Strategy.pdf>

leadership and support. The key stakeholders will include a Program Manager from GPA (Nicole Erickson), the Law Enforcement Coordinator from OCT (James Turley), and an Intelligence Analyst with the SPTC (Meghan Dudley); resumes are included as appendices for this application.

DHSES will leverage FY2020 TVTP funds to conduct initial and secondary workshops in each of the three upstate urban areas that are building TAM teams, based on the ROCTAC model. DHSES will also utilize funding to develop a training course that can be delivered at the SPTC. The SPTC trains first responders and public safety officials from across the State. This course will serve as a “force multiplier” for the dedicated efforts in upstate urban areas.

DHSES will sub-allocate a portion of FY2020 TVTP funds to the Monroe County Sheriff’s Office. The Sheriff’s Office will be responsible for contracting with a consultant to be the ROCTAC Program Manager and for hiring a consultant to develop and maintain the ROCTAC website. The Program Manager will be the central point of information coordination for the threat assessment and management advisory team in Monroe County.

In addition to the consultant that will be hired, key personnel from the Monroe County Sheriff’s Office include: Captain John Kennedy and Jennifer Curley; resumes are included as appendices in this application. Captain John Kennedy will oversee the Sheriff’s overall ROCTAC program as it relates to the FY2020 TVTP grant. He will oversee the Request for Quotes process for hiring the Program Manager and the consultant for website. Ms. Jennifer Curley, Senior Police Planning Specialist will administer and manage the grant and ensure compliance. She will coordinate and submit all fiscal and program reports as well as manage the grant in the county financial system.

Sustainability (4)

DHSES and the MCSO will develop an aggressive strategy to sustain the capabilities developed through the FY2020 TVTP. This includes:

- **Identifying New Federal Funding Sources:** First, alternative sources of federal funding will be sought moving forward in order to sustain these capabilities. For example, the Department of Justice (DOJ) has a grant program called Students, Teachers, and Officers Preventing (STOP) Grant Program. This program, while focused largely on the school environment, addresses key concepts of TAM teams and community-wide approaches to preventing violence.
- **Leveraging Existing Federal Funding Sources:** If alternative federal funding sources are not available, DHSES and the MCSO will seek to leverage existing federal grant programs to maintain program successes. Both DHSES and the Sheriff’s Office receive State Homeland Security Program (SHSP) funding through DHS.
- **Utilizing State/Local Budget Funds:** If no other federal funding sources are available, DHSES and the Sheriff’s Office will seek to utilize State and Local funding, respectively, to support TAM programs. While State and Local budgets are tight, particularly in the COVID-19 environment, efforts will be made to fully sustain capabilities developed under the FY2020 TVTP. These programs are inherently more time and leadership intensive (not resource intensive); a relatively small amount of funding makes a big difference.

Budget Detail and Narrative (5)

Budget request under the FY2020 TVTP grant:

Project Element	Lead Agency	Total Amount	Budget Category
ROCTAC Program Manager	MCSO	\$105,000	Contractual
ROCTAC Website Development	MCSO	\$2,000	Contractual
ROCTAC Marketing for Advertising	MCSO	\$5,000	Supplies
ROCTAC Manuals, Standard Applications, Brochures	MCSO	\$5,000	Supplies
Travel for MCSO to Support ROCTAC Replication Efforts	MCSO	\$5,000	Travel
TAM Training Course Development	DHSES	\$15,000	Contractual
Initial TAM Workshops in Urban Areas	DHSES	\$5,000	Travel
Initial TAM Workshops in Urban Areas	DHSES	\$5,000	Training
Secondary TAM Workshops	DHSES	\$5,000	Travel
Secondary TAM Workshops	DHSES	\$5,000	Training
Management and Administrative Funds	DHSES	\$7,850	Personnel
Project Total	\$164,850		

The required budget worksheet includes a summary of projects that will be implemented by DHSES and the MCSO:

Budget Category	Federal Request
Personnel	\$7,850
Fringe Benefits	\$0
Travel	\$15,000
Supplies	\$10,000
Training	\$10,000
Contractual	\$122,000
Other	\$0
<i>Total Direct Costs</i>	\$164,850
Indirect Costs	\$0
Total Project Costs	\$164,850

Appendices

Implementation and Measurement Plan (IMP)

Resumes for Key Personnel

- **DHSES, Grants Program Administration, Ms. Nicole Erickson**
- **DHSES, Office of Counter Terrorism, Mr. James Turley**
- **DHSES, State Preparedness Training Center, Ms. Meghan Dudley**
- **Monroe County Sheriff's Office, Captain John Kennedy**
- **Monroe County Sheriff's Office, Ms. Jennifer Curley**

Documentation of Commitment

- **Director Douglas Keyer, DHSES Office of Counter Terrorism**
- **Sheriff Todd Baxter, Monroe County Sheriff's Office**

Letters of Support and Recommendation

- **Sheriff Craig Apple, Albany County Sheriff's Office**
- **Chief Eric Hawkins, Albany (City) Police Department**
- **Chief Kenton Buckner, Syracuse Police Department**
- **Commissioner Byron C. Lockwood, Buffalo Police Department**
- **Chief Samuel Farina, Jr., Fairport Police Department**
- **Acting Chief Alan Laird, Irondequoit Police Department**
- **Acting Director Corinda Crossdale, Monroe County Office of Mental Health**

Targeted Violence and Terrorism Prevention (TVTP)

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Program Design (2)

Problem Statement (2A)

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The specific objectives that support this overarching goal include:

- *Hire a new Program Manager at MCSO to guide the ROCTAC*
- *Develop a website and promotional materials for the ROCTAC to socialize the program, its services, and success stories to the broader community*
- *Host an initial workshop in each of the three other upstate urban areas (Buffalo-Erie-Niagara, Syracuse-Onondaga, Capital District) to further educate key officials on threat assessment fundamentals and to begin work on drafting unique strategies for each region's Threat Assessment and Management (TAM) team*
- *Conduct a secondary workshop in each of the three upstate urban areas to follow-up on the initial workshop and to continue making progress towards TAM team implementation*
- *Develop a training course on TAM team fundamentals and implementation that can be delivered at the State Preparedness Training Center (SPTC). The course will be designed for other regions of the State interested in building out this concept.*

All objectives will be completed within the performance period of this grant program.

The performance measures for this proposal include:

Overarching Measures

- *The successful maintenance and advancement of the ROCTAC.*
- *The successful development of TAM teams in the Buffalo-Erie-Niagara, Syracuse-Onondaga, and Capital Region areas.*
- *The development of a TAM team course at the SPTC.*
 - *The number of deliveries, students trained, and contact hours will be tracked.*

Specific Measures for each TAM Team

- *Number of cases opened*
- *Number of referrals for outside services by service type*
- *Anonymized case status data referred to law enforcement*
- *Anonymized case studies illustrating the impact of threat management*

This project goal is based on – and directly aligns to – the Department of Homeland Security's 2019 Strategic Framework²:

- *Goal 3: Prevent Terrorism and Targeted Violence*

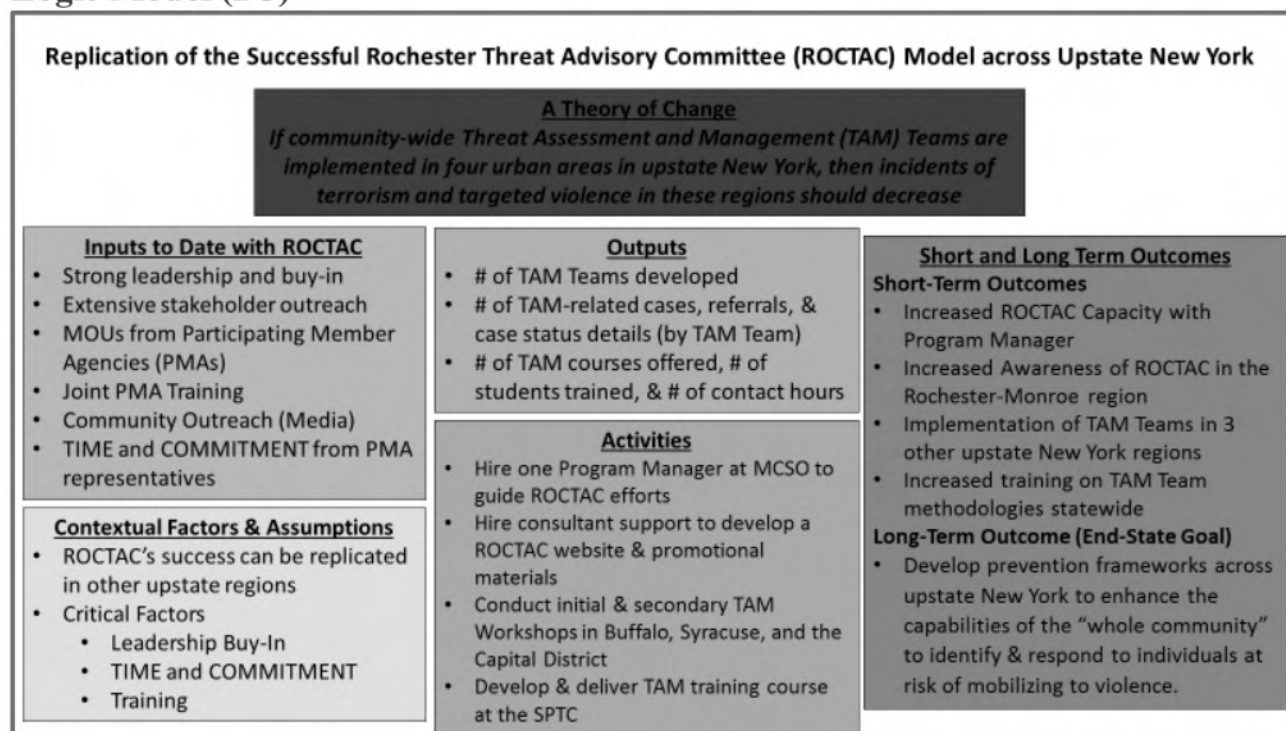
² DHS Framework for Countering Terrorism and Strategic Violence. September 2019. Available online: https://www.dhs.gov/sites/default/files/publications/19_0920_plec_strategic-framework-countering-terrorism-targeted-violence.pdf

- Objective 3.3: *Develop local prevention frameworks that enhance the ability of state, local, tribal, and territorial (SLTT) partners to identify and respond to individuals at risk of mobilizing to violence”*

This goal also directly aligns to the 2017-2020 NYS Homeland Security Strategy³:

- Goal 1: *Strengthen Intelligence and Information-Sharing Capabilities*
- Objective 1.4: *Expand outreach efforts and knowledge share beyond traditional law enforcement and intelligence communities to include first responders (including the fire service and emergency managers) and the private sector*

Logic Model (2C)



Organizational Design and Key Personnel (3)

This project will be managed by DHSES in close coordination with the MCSO. DHSES serves as the State Administrative Agency (SAA) for grant funding provided by the federal Department of Homeland Security (DHS) to New York State. DHSES has a large Grants Program Administration (GPA) unit that effectively manages over \$1 billion in federal homeland security funds provided through DHS.

Within DHSES, this program will be managed through a partnership of staff from GPA, the Office of Counter Terrorism (OCT), and the SPTC. This collaborative approach mirrors the partnership utilized by DHSES to oversee the State’s CCTA Program; this ensures that funding, intelligence, and training professionals are partnered together to provide the best possible programmatic

³ 2017-2020 NYS Homeland Security Strategy. Available online: <http://www.dhSES.ny.gov/media/documents/NYS-Homeland-Security-Strategy.pdf>

leadership and support. The key stakeholders will include a Program Manager from GPA (Nicole Erickson), the Law Enforcement Coordinator from OCT (James Turley), and an Intelligence Analyst with the SPTC (Meghan Dudley); resumes are included as appendices for this application.

DHSES will leverage FY2020 TVTP funds to conduct initial and secondary workshops in each of the three upstate urban areas that are building TAM teams, based on the ROCTAC model. DHSES will also utilize funding to develop a training course that can be delivered at the SPTC. The SPTC trains first responders and public safety officials from across the State. This course will serve as a “force multiplier” for the dedicated efforts in upstate urban areas.

DHSES will sub-allocate a portion of FY2020 TVTP funds to the Monroe County Sheriff’s Office. The Sheriff’s Office will be responsible for contracting with a consultant to be the ROCTAC Program Manager and for hiring a consultant to develop and maintain the ROCTAC website. The Program Manager will be the central point of information coordination for the threat assessment and management advisory team in Monroe County.

In addition to the consultant that will be hired, key personnel from the Monroe County Sheriff’s Office include: Captain John Kennedy and Jennifer Curley; resumes are included as appendices in this application. Captain John Kennedy will oversee the Sheriff’s overall ROCTAC program as it relates to the FY2020 TVTP grant. He will oversee the Request for Quotes process for hiring the Program Manager and the consultant for website. Ms. Jennifer Curley, Senior Police Planning Specialist will administer and manage the grant and ensure compliance. She will coordinate and submit all fiscal and program reports as well as manage the grant in the county financial system.

Sustainability (4)

DHSES and the MCSO will develop an aggressive strategy to sustain the capabilities developed through the FY2020 TVTP. This includes:

- **Identifying New Federal Funding Sources:** First, alternative sources of federal funding will be sought moving forward in order to sustain these capabilities. For example, the Department of Justice (DOJ) has a grant program called Students, Teachers, and Officers Preventing (STOP) Grant Program. This program, while focused largely on the school environment, addresses key concepts of TAM teams and community-wide approaches to preventing violence.
- **Leveraging Existing Federal Funding Sources:** If alternative federal funding sources are not available, DHSES and the MCSO will seek to leverage existing federal grant programs to maintain program successes. Both DHSES and the Sheriff’s Office receive State Homeland Security Program (SHSP) funding through DHS.
- **Utilizing State/Local Budget Funds:** If no other federal funding sources are available, DHSES and the Sheriff’s Office will seek to utilize State and Local funding, respectively, to support TAM programs. While State and Local budgets are tight, particularly in the COVID-19 environment, efforts will be made to fully sustain capabilities developed under the FY2020 TVTP. These programs are inherently more time and leadership intensive (not resource intensive); a relatively small amount of funding makes a big difference.

Budget Detail and Narrative (5)

Budget request under the FY2020 TVTP grant:

Project Element	Lead Agency	Total Amount	Budget Category
ROCTAC Program Manager	MCSO	\$105,000	Contractual
ROCTAC Website Development	MCSO	\$2,000	Contractual
ROCTAC Marketing for Advertising	MCSO	\$5,000	Supplies
ROCTAC Manuals, Standard Applications, Brochures	MCSO	\$5,000	Supplies
Travel for MCSO to Support ROCTAC Replication Efforts	MCSO	\$5,000	Travel
TAM Training Course Development	DHSES	\$15,000	Contractual
Initial TAM Workshops in Urban Areas	DHSES	\$5,000	Travel
Initial TAM Workshops in Urban Areas	DHSES	\$5,000	Training
Secondary TAM Workshops	DHSES	\$5,000	Travel
Secondary TAM Workshops	DHSES	\$5,000	Training
Management and Administrative Funds	DHSES	\$7,850	Personnel
Project Total		\$164,850	

The required budget worksheet includes a summary of projects that will be implemented by DHSES and the MCSO:

Budget Category	Federal Request
Personnel	\$7,850
Fringe Benefits	\$0
Travel	\$15,000
Supplies	\$10,000
Training	\$10,000
Contractual	\$122,000
Other	\$0
<i>Total Direct Costs</i>	\$164,850
Indirect Costs	\$0
Total Project Costs	\$164,850

Appendices

Implementation and Measurement Plan (IMP)

Resumes for Key Personnel

- **DHSES, Grants Program Administration, Ms. Nicole Erickson**
- **DHSES, Office of Counter Terrorism, Mr. James Turley**
- **DHSES, State Preparedness Training Center, Ms. Meghan Dudley**
- **Monroe County Sheriff's Office, Captain John Kennedy**
- **Monroe County Sheriff's Office, Ms. Jennifer Curley**

Documentation of Commitment

- **Director Douglas Keyer, DHSES Office of Counter Terrorism**
- **Sheriff Todd Baxter, Monroe County Sheriff's Office**

Letters of Support and Recommendation

- **Sheriff Craig Apple, Albany County Sheriff's Office**
- **Chief Eric Hawkins, Albany (City) Police Department**
- **Chief Kenton Buckner, Syracuse Police Department**
- **Commissioner Byron C. Lockwood, Buffalo Police Department**
- **Chief Samuel Farina, Jr., Fairport Police Department**
- **Acting Chief Alan Laird, Irondequoit Police Department**
- **Acting Director Corinda Crossdale, Monroe County Office of Mental Health**

OTVTP Implementation & Measurement Plan

You should modify the Implementation & Measurement Plan (IMP) template to the number of outcomes your specific project requires. For *each* outcome in the IMP, create an Implementation Plan table *and* a Measurement Plan table. Please use the definitions provided in the IMP guidance document when crafting your plan. Draft, in the box below, the overarching goal statement for the project. Following completion of the IMP, each grantee is expected to complete the Risk Assessment & Mitigation Plan in Appendix A.

In the Implementation Plan table:

- Type each activity in a separate row; add as many rows as needed.
- Arrange activity rows chronologically by the start date of the activity.
- This IMP should span both years of performance under this grant program.

In the Measurement Plan table:

- Type each outcome indicator in a separate row.
- Include indicators that will help measure the results of the project; it is not necessary to have more than one indicator if that indicator sufficiently measures results.
- Identify and/or design data collection methods to be used to obtain the data that will be reported on quarterly.
- Ensure attention to collection of data that can be broken down by sex and age of project participants or beneficiaries.

NOTE: Data collection methods should be specific and timebound. Any expenses incurred from the collection of data must come from the grant already awarded. No additional funds will be made available by DHS for this purpose.

Organization Name	NYS Division of Homeland Security and Emergency Services (DHSES), in partnership with the Monroe County Sheriff's Office (MCSO)
Project Title	Replication of the Successful Rochester Threat Advisory Committee (ROCTAC) Model across Upstate New York
Grant Number	97.132 (FY2020 Targeted Violence and Terrorism Prevention Grant Program)
Grant Implementation Period:	Initial Application Phase
Reporting Period:	April 1, 2020 – June 30, 2020

Project Goal Statement

Develop prevention frameworks across upstate New York to enhance the capabilities of the “whole community” to identify and respond to individuals at risk of mobilizing to violence.

Target Population

The ROCTAC program currently serves the entirety of Monroe County, which has a population of 741,770*. When Threat Assessment and Management (TAM) teams are successfully implemented in Buffalo (Erie County), Syracuse (Onondaga County), and Albany (Albany County), an additional 1,684,736* New Yorkers will have the opportunity for support through a TAM Team. In total, over 1.4 million New Yorkers will have access to TAM Team support by the end of the grant performance period.

Note: All population figures are based on 2019 census estimates.

OUTCOME 1: *The successful maintenance and advancement of the ROCTAC.*

Mid-Term Outcome 1.1: *Hire a Program Manager at MCSO to oversee the ROCTAC.*

Mid-Term Outcome 1.2: *Hire a consultant to develop a website and create promotional materials for the ROCTAC.*

OUTCOME 1 IMPLEMENTATION PLAN

Activity	Inputs/Resources	Time Frame	Anticipated Outputs	Progress Reporting (Complete for Progress Report Only)
<i>MCSO issues Request for Proposals (RFP) for Program Manager consultant support</i>	<i>Funding, Leadership, Commitment</i>	<i>First Quarter, 2021</i>	<i>RFP issued Proposals received, reviewed Winning bid selected Program Manager hired</i>	<i>N/A</i>
<i>MCSO issues Request for Quotes (RFQ) information technology support to develop ROCTAC website</i>	<i>Funding, Leadership, Commitment</i>	<i>First Quarter, 2021</i>	<i>RFQ issued Proposals received, reviewed Winning bid selected IT consultant hired Website developed</i>	<i>N/A</i>
<i>MCSO develops promotional materials to increase “whole community” awareness of the ROCTAC</i>	<i>Funding, Leadership, Commitment, Creativity</i>	<i>Last Quarter, 2020</i>	<i>Promotional Materials conceptualized, designed, procured, and distributed</i>	<i>N/A</i>

OUTCOME 1 MEASUREMENT PLAN

Outcome Indicator(s)	Data Collection Method and Timeframe	Results (Complete for Progress Report Only)
<i>ROCTAC Case Indicators</i> <i>Number of Threat Cases (TCs) handled</i> <i>Number of referrals for outside services by service type</i> <i>Anonymized case status data referred to law enforcement</i> <i>Anonymized case studies illustrating the impact of threat management</i>	<i>Information will be collected by MCSO and reports will be provided quarterly to DHSES and DHS. This process can begin in the last quarter of 2020.</i>	<i>N/A</i>
<i>ROCTAC Program Indicators</i> <i>ROCTAC Program Manager hired</i> <i>ROCTAC website developer hired</i> <i>ROCTAC website goes “live”</i> <i>ROCTAC promotional materials developed and delivered</i>	<i>Information will be collected by MCSO and reports will be provided quarterly to DHSES and DHS.</i>	<i>N/A</i>

OUTCOME 2: *The successful development of TAM Teams in the Buffalo-Erie-Niagara, Syracuse-Onondaga, and Capital Region areas.*

Mid-Term Outcome 2.1: *Conduct initial and secondary TAM planning workshops in each of the three upstate regions.*

Mid-Term Outcome 2.2: *TAM Teams formally begin in each of the three upstate regions.*

OUTCOME 2 IMPLEMENTATION PLAN

Activity	Inputs/Resources	Time Frame	Anticipated Outputs	Progress Reporting (Complete for Progress Report Only)
<i>Execution of initial TAM planning workshops in three upstate regions</i>	<i>Funding, Leadership, Commitment, Buy-In</i>	<i>First Quarter, 2021</i>	<i>Formal buy-in for TAM Teams in all 3 regions, Identification of clear “next steps” for each region to guide efforts</i>	<i>N/A</i>
<i>Execution of secondary TAM planning workshops in three upstate regions</i>	<i>Funding, Leadership, Commitment, Buy-In</i>	<i>Second Quarter, 2021</i>	<i>Finalization of plans and procedures to “stand up” TAMs, community buy-in obtained (in each of the three regions)</i>	<i>N/A</i>
<i>TAM Teams formally begin in three upstate regions</i>	<i>Funding, Leadership, Commitment, Buy-In</i>	<i>Third and Fourth Quarters, 2021</i>	<i>Threat Cases (TCs) are identified, analyzed, and tracked. Resources are made available to support.</i>	<i>N/A</i>

OUTCOME 2 MEASUREMENT PLAN

Outcome Indicator(s)	Data Collection Method and Timeframe	Results (Complete for Progress Report Only)
<i>TAM Team Development Indicators</i> <i>Initial workshops will be conducted in three regions (First Quarter, 2021)</i> <i>Secondary workshops will be conducted in three regions (Second Quarter 2021)</i> <i>TAM Teams will be “stood Up (Third and Fourth Quarters 2021)</i>	<i>Information will be collected by DHSES quarterly and provided to DHS.</i>	<i>N/A</i>
<i>TAM Team Case Indicators</i> <i>Number of cases opened</i> <i>Number of referrals for outside services by service type</i> <i>Anonymized case status data referred to law enforcement</i> <i>Anonymized case studies illustrating the impact of threat management</i>	<i>Information will be collected by individual TAM Teams and reports will be provided quarterly to DHSES and DHS. This process will begin as each TAM Team is “stood up”, which will likely begin in the third and fourth quarters of 2021.</i>	<i>N/A</i>

OUTCOME 3: *The development of a TAM Team course at the SPTC.*

Mid-Term Outcome 3.1: *Coordinate with local, state, federal, and other “whole community” partners to develop a TAM course.*

Mid-Term Outcome 3.2: *Conduct a pilot of the new TAM course at the SPTC to garner feedback on the draft course.*

OUTCOME 3 IMPLEMENTATION PLAN

Activity	Inputs/Resources	Time Frame	Anticipated Outputs	Progress Reporting (Complete for Progress Report Only)
<i>Conduct an Initial Planning Meeting (IPM) for course development</i>	<i>Funding, Leadership, Partnerships, Creativity</i>	<i>Last Quarter, 2020</i>		<i>N/A</i>
<i>Conduct a Mid-Term Planning Meeting (MPM) for course development</i>	<i>Funding, Leadership, Partnerships, Creativity</i>	<i>First Quarter, 2021</i>		<i>N/A</i>
<i>Conduct a Final Planning Meeting (FPM) for course development</i>	<i>Funding, Leadership, Partnerships, Creativity</i>	<i>Second Quarter, 2021</i>		<i>N/A</i>
<i>Conduct pilot of course at the SPTC</i>	<i>Funding, Leadership Oversight</i>	<i>Second Quarter 2021</i>		<i>N/A</i>

OUTCOME 3 MEASUREMENT PLAN

Outcome Indicator(s)	Data Collection Method and Timeframe	Results (Complete for Progress Report Only)
<i>New TAM Team Course is successfully piloted at the SPTC.</i>	<i>Course announcement, sign-in sheet, and feedback on course pilot will be provided by DHSES to DHS</i>	<i>N/A</i>

APPENDIX A: RISK MANAGEMENT PLAN

The following risk assessment chart is designed to assist in the identification of potential occurrences that would impact achieving project objectives, primarily those originating externally and that are outside of the organization's control. Risks could include, but are not limited to: chart below to identify these risks; add additional rows if necessary.

Risk Identified	Likelihood of Risk Occurring (low/medium/high)	Risk Analysis (brief assessment of the impact the identified risk could/would have on the project)	Risk Management Plan (plan to minimize the impact that the risk presents to the project and adjustments to be made if the risk transpires)
<i>The successful ROCTAC model in Rochester is not able to be replicated in one (or more) of the other three upstate regions.</i>	<i>Medium</i>	<i>The foundational assumption of this project is that the successful ROCTAC model can be replicated in other areas. The ROCTAC model required strong leadership, "whole community" partnerships, and buy-in from the broader public community. If any of these factors are absent in the other upstate regions, then their ability to implement successful TAM Teams could be hindered.</i>	<i>This project risk can be mitigated through consistent engagement with senior leadership in the three upstate regions that will implement new TAM Teams. DHSES will host monthly conference calls with the regions, in coordination with MCSO, to support this process. Strong senior leadership and commitment is the key that will bring together the "whole community" and will help to ensure community buy-in.</i>
<i>Police relationships with the communities they serve can be challenging and can change over time. There may initially not be community "buy in" for TAM Teams in the three other upstate regions.</i>	<i>Low to Medium</i>	<i>If the broader community does not buy into the concept and use of TAM Teams, then the number of cases referred to their region's respective TAM Team could be limited.</i>	<i>This risk can be mitigated through effective public engagement, utilizing the media as a tool to share this message. The importance of TAM Teams can be highlighted, along with information on their processes and protection measures can be shared. This proactive outreach strategy will help increase public comfort with how TAM Teams operate and how they have been successful.</i>

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Irondequoit Police Department



Alan J Laird

Acting Chief of Police

May 21, 2020

Homeland Security and Emergency Services
Monroe County Sheriff's Office

RE: FY2020 Targeted Violence and Terrorism Prevention Grant

To Whom it May Concern:

The Irondequoit Police Department fully supports the efforts of the New York State Division of Homeland Security and Emergency Services grant application for the Targeted Violence Terrorism Prevention Program.

Our agency has been a part of the Rochester Threat Advisory Committee (ROCTAC) since inception. Our agency will continue to assist the ROCTAC and provide balanced intervention and case management strategies to cases presented in order to mitigate, resolve, and improve targeted violence threat response. The Irondequoit Police Department has been and will continue to be invested with the process of ROCTAC. We will promote this process amongst law enforcement agencies.

Our agency will also provide any additional support that may be requested by NYS DHSES and ROCTAC partners.

If there are any questions or need for additional information from the Irondequoit Police Department I can be reached by phone at (b)(6) or via email at (b)(6)

Sincerely,

(b)(6)

Alan J Laird
Acting Chief of Police



**Homeland Security
and Emergency Services**

ANDREW M. CUOMO
Governor

PATRICK A. MURPHY
Commissioner

May 19, 2020

Office of Targeted Violence and Terrorism Prevention
Office of Strategy, Policy, and Plans
U.S. Department of Homeland Security
2707 Martin Luther King Avenue, SE
Washington, D.C. 20528-0445

To Whom it May Concern:

On behalf of Commissioner Patrick A. Murphy, of the New York State Division of Homeland Security and Emergency Services (DHSES), it is my distinct pleasure to offer our application for FY2020 Targeted Violence and Terrorism Prevention (TVTP) funds to the U.S. Department of Homeland Security (DHS).

DHSES is proud to partner with the Monroe County Sheriff's Office in this effort. Our joint project – *"Replication of the Successful Rochester Threat Advisory Committee (ROCTAC) Model across Upstate New York"* – will greatly enhance the State's overarching counterterrorism efforts. DHSES has supported the ROCTAC since its inception and we believe that the Rochester area's successful model can be replicated in other urban areas across upstate New York.

This proposal is an extension of our continuing efforts under the DHS Complex Coordinated Terrorist Attack (CCTA) program, which focused on prevention and response efforts in four upstate urban areas (Albany, Buffalo, Rochester, and Syracuse).

The importance of Threat Assessment and Management (TAM) team development is critically important, given the current threat environment. This low-cost, high-impact project has the potential to become a model for communities nationwide.

If you have any questions, please don't hesitate to contact me at (b)(6) Thank you in advance for your consideration.

(b)(6)

Director, DHSES Office of Counter Terrorism
douglas.keyer@dhses.ny.gov



Office of the Sheriff

MONROE COUNTY
"ONE TEAM"



Sheriff Todd K. Baxter

Undersheriff Korey K. Brown

130 SOUTH PLYMOUTH AVENUE, ROCHESTER, NY 14614 | (585)753-4178

May 7, 2020

Office for Targeted Violence and Terrorism Prevention
Office of Strategy, Policy, and Plans (PLCY)
U.S. Department of Homeland Security
2707 Martin Luther King Ave. SE
Washington, D.C. 20528-0445

Dear U.S Department of Homeland Security Targeted Violence and Terrorism Prevention Grant Review Team:

As the Sheriff of Monroe County, I fully support the New York State Division of Homeland Security and Emergency Services (NYS DHSES) partnership application for the FY20 Targeted Violence and Terrorism Prevention. In September of 2018, The Monroe County Sheriff's Office formed the Rochester Threat Advisory Committee (ROCTAC) and has led the homegrown threat assessment committee with 24 participating member agencies. ROCTAC has served as a resource for the community since 2019, in an effort to improve targeted violence threat response protocols by providing multi-disciplinary expert advice and seamless sharing of information across systems. The committee balances the safety needs of the public with the health and wellness needs of at risk individual(s) in hopes of creating restorative measures. The hope and expectation of ROCTAC is to share the concept statewide with other regions and law enforcement agencies. This partnership with NYS DHSES will further our goal to develop similar committees across New York and to enhance the capabilities of the "whole community" to identify and respond to individuals at risk of violence.

The ROCTAC serves as a leader in New York State and the program is evolving and funds are needed to advertise and provide a central point of information coordination for the threat assessment and advisory members in Monroe County. The Sheriff's Office will also assist in the training and coordination with NYS DHSES with the threat assessment and management expansion to other regions within the state.

The Monroe County Sheriff's Office is excited about this endeavor and if funding is received, we look forward to working with U.S Department of Homeland Security and the New York State Division of Homeland Security and Emergency Services.

(b)(6)

Todd K. Baxter
Sheriff



WE ARE PROFESSIONALS
WWW.MONROECOUNTYSHERIFF.INFO





Office of Mental Health

Monroe County, New York

Adam J. Bello
County Executive

Thalia Wright
Commissioner, Department of Human Services

Corinda Crossdale
Acting Director, Office of Mental Health

May 19, 2020

Sheriff Todd Baxter
Monroe County Sheriff's Office
130 S Plymouth Avenue
Rochester, NY 14614

Dear Sheriff Baxter:

Monroe County Office of Mental Health (MCOMH) is in support of the grant application for the Targeted Violence Terrorism Prevention Program submitted by the Monroe County Sheriff, to the New York State Division of Homeland Security and Emergency Services (DHSES). MCOMH has been a part of the Rochester Threat Advisory Committee (ROCTAC) since inception and will continue to assist the ROCTAC and provide balanced intervention and case management strategies to cases presented in order to mitigate, resolve, and improve targeted violence threat response. MCOMH is a strong existing partner with Monroe County Sheriff's Office and will also provide any additional support that may be requested by NYS DHSES and ROCTAC partners.

We look forward to working as a partner with Monroe County Sheriff's Office moving forward. Our primary point of contact for this project is Kimberly Butler, who may be reached at (b)(6)

Sincerely,

(b)(6)

Corinda Crossdale, Acting Director
Monroe County Office of Mental Health

JENNIFER CURLEY

(b)(6)

OBJECTIVE

To continue to educate myself and receive training to improve my career and job performance.

EXPERIENCE

2001–Present Monroe County Sheriff's Office

Senior Police Planning Specialist

- Research, write, and manage all Sheriff's Office grant projects with state, federal and public foundations.
- Prepare grant progress and fiscal reports.
- Ensure compliance with grant contracts.
- Coordinate County Legislative referrals, submission and attend Legislative meetings.
- Manage grants/budget/personnel in County SAP system.
- Coordinate Capital Improvement Projects.
- Conduct general research and analyze data.
- Coordinate contracts and purchases for grant implementation.
- Assist with annual budget process, budget indicators and budget requests.
- Conduct and prepare various program needs assessments and workforce analysis.

1996-2001 Monroe County Sheriff's Office

Road Patrol Deputy Sheriff-Part-time

- Responsible for maintaining law and order to county parks and buildings.
- Assigned to Mounted Unit from 5/97-01/01, responsible for care of mounts and all patrol functions on horseback.

- Coordinated and prepared Marine State Aid.

1998-2001 SUNY Brockport University Police

Campus Police Officer 1

- Responsible for dispatching officers to various calls of service.
- Responsible for computer dispatch log, NYSPIN and NCIC file checks and entries.
- Responsible for simple service reports and citizen assists.

1996-1998 SUNY Brockport ROTC

Administrative Assistant

- Responsible for maintaining cadet and battalion data in computer system.
- File management for all personnel records.
- Responsible for submitting medical and dental claim vouchers.

1996-1996 Chautauqua Institution Police Department

Security Guard

- Responsible for access security for entire grounds.
- Responsible for enforcing parking regulations.
- Assist Chautauqua Police Officers.

1995-1996 SUNY Brockport Public Safety

Student Patrol Member

- Responsible for patrolling campus, walking escort service and securing buildings.

EDUCATION

1996-1997 Monroe County Sheriff's Police Academy at Monroe
Community College

Rochester, NY

- New York State Police Officer Certification

1994-1996 SUNY Brockport

Brockport, NY

- B.A.S., Bachelors in Applied Science/ Criminal Justice

- GPA 3.12
- Academic Athletic Achievements

1992-1994 Jamestown Community College
Jamestown, NY

- A.A.S, Associate in Applied Science/Criminal Justice
- GPA 3.38
- Deans list 12/92 & 93

INTERESTS

Family, reading, scrap booking, running, skiing, Zumba enthusiast and boating

Churchville Chili PTO Treasurer from 2008 -2018

Various Highschool Sports Team Manager positions

ACHIEVEMENTS

1994-1996 SUNY Brockport NCAA Track and Field

- 4 Academic Achievements in Sports

John R. Kennedy
Captain / Sheriff's Executive Officer

(b)(6)

May 8, 2020

Employment

Monroe County Sheriff's Department- May 1989 - Present

Sheriff's Executive Officer 2/23/2019 – Present

- Provide aid to the Sheriff in an organization with 1200 sworn and non-sworn employees ensuring projects are on time, within budget and meet established goals. Represent and speak for the Sheriff when he is unable to attend an event or meeting. Organize, attend and chair meetings as assigned by the Sheriff, interact with the media to promote the Sheriff's Office and prepare reports as required by the Sheriff. Project Manager for two large capital improvement projects totaling 14 million dollars.

Special Operations Captain 7/1/15-2/23/19

- Responsible for Jail Transport Unit, Jail Visitation, Prisoner Property, Jail Maintenance and the Jail capital improvement projects. Jail Transport has 45 sworn staff members that handle all inmate court appearances as well as transports to state prison and include out of state warrant and fugitive transports. The Jail Visitation unit with 21 staff members routinely conduct over 300 social and professional visits a week. Jail Maintenance with its own budget and 8 staff members collectively maintain two facilities encompassed by four separate buildings. Prisoner Property with three staff members handle all inmate personal property. Responsible for operational oversight of the jail medical and mental health with a budget of 12 million annually. Conducted numerous investigations for Jail Administration. Worked as a liaison with the New York State Commission of Corrections.

2nd Platoon Captain 12/29/12-7/1/15

- Responsible for the daily operation of the Monroe County Jail and Monroe County Correctional Facility as well as the 142 security staff assigned to the two facilities. Average daily population (ADP) 1450 inmates combined. Responsible for Jail Records operations with a staff of six sworn and three non-sworn staff. Responsible for operational oversight of the jail medical and mental health contract.

Administration Captain 1/21/12-12/29/12

- Responsible for auditing and oversight of all platoons with regard to State Minimum Standard requirements as well as overtime. Responsible for operational oversight of the jail medical and mental health contract. Created a liaison group and regularly met with platoon liaisons and medical to improve performance within the facility and on each

platoon. Created an atmosphere and culture of honest and open communication in order to improve on performance and outcomes within both facilities.

Internal Affairs Lieutenant 07/2011-12/2011

- Assigned to Internal Affairs Unit to investigate the March 31, 2011, escape from the Monroe County Jail. Interviewed inmates involved in the escape after their capture, interviewed noninvolved inmates, and interviewed sworn staff. Created a 56 page in depth report for the Sheriff which detailed the failures that led to the escape and outlined 19 individual improvements to policies and procedures. Assisted in implementing each change.

Promoted to the rank of Lieutenant 4/22/2007

- Watch tour commander on 1st and 2nd platoons, responsible for daily operations, staffing, scheduling oversight, ensuring the platoons were in compliance with all minimum standards from the commission and overall safety of staff and inmates. Conducted investigations into all use of forces by staff. Responsible for oversight of yearly evaluations completed by sergeants of up to 100 sworn staff members under my command. Completed evaluations for all 6 sergeants under my command. Conducted routine coaching and counseling activities to ensure all staff were in compliance with all laws, and department general orders.

Promoted to the rank of Sergeant 8/25/2003

- Platoon supervisor responsible for scheduling and assigning staff within the confines of the facility. Additionally responsible for the assignment of staff and activities outside the facility at hospital details or clinic appointments. Responsible for supervisor tours of all areas of the facilities each shift. Maintained the safety and security of the facility. Responsible for yearly evaluations of up to 100 sworn staff members under my command. Conducted routine coaching and counseling activities to ensure all staff were in compliance with all laws, and department general orders.

Promoted to the rank of Corporal 4/8/1995

- Provided first line supervision to deputies in housing units. Immediately intervened and addressed issues with inmates. Conducted supervisor tours in my assigned area and provided coaching and counseling to first line staff. Was assigned for five and a half years to the Reception and Classification Unit where I was responsible to classify inmates and decide on placement within the facility taking into consideration their criminal history, special needs, medical and mental health, and numerous other factors to ensure they were housed safely with security in mind.
- Assigned as transition coordinator in 2003, to prepare the new 58 million dollar addition to the jail for occupation. Project manager overseeing and coordinating the development of policies, procedures and rules and regulations for the new Direct Supervision Housing units. Managed three teams of a total of 18 sworn staff members in this process. Additionally responsible to manage the finalization of the construction project and ensure all security systems were in place and in working order prior to occupation.

Hired 05/15/1989 – Deputy

- Worked all housing areas to include Booking and Reception. Specialized in intake processing and dealing with the mentally ill. Was assigned to Transport for twenty months.

Education

Fairport High School – Graduated June 1982

Monroe Community College – Graduated December 1985 Associates Degree in Criminal Justice.

University of Buffalo – Graduated June 1988 Bachelor of Arts Degree in Sociology.

Georgetown University- McCourt School of Public Policy 2018 – Executive Certificate -Youth in Custody

Professional Education:

1994 - Certified by the Bureau of Municipal Police as an instructor

1994 – Certified by the State of New York as a Suicide Prevention/Crisis Intervention instructor

1995 – Certified by the State of New York as a Police Mental Health Trainer (EDP)

2004 – Completed the Acute Traumatic Stress Management for The Law Enforcement Officer Course

2004 – Completed Leadership and Integrity: Applied Ethics and Decision Making Course

2005 – Completed the 80 hour Emotionally Disturbed Person Response Team Training (EDPRT)

2005 – Completed the 105 hour MCSO Executive Development Program

2006 – Certified to instruct the refresher training “Responding to Situations Involving EDP’s”

2006 – Attended seminar on Successfully Diverting Adults with Mental Illness involved in the CJS

2006 – Certified by the State of New York in Crisis Intervention Officer Training Course

2008 - Completed Incident Command System Intermediate 300

2008 - Completed Jail Evacuation Planning and Implementation

2008 - Certified in Crisis Debriefing

2008 - Completed Autism Risk and Safety Management course

2009 - Completed Incident Command System Intermediate 400

2010 – Completed Autism Awareness for First Responders course

2015 – Completed Emotional Survival for Law Enforcement course - Dr. Gilmartin

2015 – Completed Trauma Resources and Unified Management Assistance course

Awards:

2005 – Corrections Officer of the Year New York State Sheriff's Association

2006 – Sheriff's Commendation - for actions in talking a barricaded inmate who had cut himself and was still holding the razor from his cell without out any more harm to himself.

Accomplishments:

Commander of the Monroe County Sheriff's Office Peer Support Team. This is a multi-bureau team with 40 sworn and non-sworn staff members trained as peer counselors with certifications in Critical Incident Stress Debriefings for groups and individuals. Peer Support team members have conducted hundreds of debriefings after critical events and routinely help staff with problems from family issues to addiction.

Member of the Inaugural National Jail Leadership Command Academy in 2009, which is sponsored by the American Jail Association and Sam Houston State University.

Completed certificate course for Youth in Custody at Georgetown University's McCourt School of Public Policy. Currently implementing a capstone project within the juvenile criminal justice system in Monroe County.

Lead instructor (Jail Bureau) for the Suicide Prevention / Crisis Intervention course for 20 years. Additionally implemented training and trained the contracted medical and mental health staff in the jail and correctional facility. Conducted reviews of all suicides within the facility for over twenty years and made recommendations for changes to policies and procedures. In 2020, completed a comprehensive research project collecting data from 15 years of suicides within the Monroe County Jail and 5 years of serious attempts within the jail. The report compared specific data from the Monroe County Jail against data from other jails in New York State and across the country. A literature review was additionally conducted regarding best practices and was compared to processes and procedures used in the Monroe County Jail. The final report recommended changes to procedures and training in the jail based on the findings of my research.

Lead instructor (Jail Bureau) for the Police Mental Health Trainer course more commonly known as EDP for 20 years. The course focuses on how to assist individuals in crisis who have pre-existing mental health, medical, substance abuse issues or life stresses.

Original member of the design team for the Jail Expansion that began construction in 2000. Planning and development started in 1997. Involved in the planning aspect and traveling to other facilities across the country to garner knowledge and ideas. Worked on the team that reviewed design plans.

Worked directly with Black Creek Security designers and engineers assisting in developing the layout of the computer screens for our Jail Security system. Was one of four jail department members trained as a "Super User" for the Black Creek Security System. Facilitator of the team

that developed the training for the Black Creek Security System and tested the system prior to its installation. Lead training instructor for sworn staff on the security system.

Developed a training curriculum on Direct Supervision Housing for the Monroe County Jail. Selected and trained staff on Direct Supervision prior to occupying the new housing area of the jail in 2003.

Establish a policy for inmates who are on drug and alcohol withdrawal in the Monroe County Jail. Assisted in developing and implementing our current procedures on constant observation and the policies of how an individual is taken off constant. Worked with medical and mental health staff to develop this procedure that allows for a collaboration between medical, mental health and security staff each day to discuss how to manage mentally ill and suicidal inmates within the facility.

From October 2008, to May of 2011, oversaw the supervisor training program for 84 supervisors in the Jail Bureau. Responsible for creating the lesson plans that were used for this training and kept staff up to date on their progress. During this time period the amount of supervisors that completed all lesson plans increased tremendously from previous years.

Represented the Sheriff's Office at Emergency Services Committee Meetings. This is a group comprised of EMS, law enforcement, community care providers, hospitals, mental health providers, providers from DDSO, Veterans Affairs and County MH. We met monthly to discuss issues where our paths cross and attempt to resolve or find solutions to problems that affected us all.

Represented the Jail Bureau at the Criminal Justice Subcommittee meetings that were bimonthly. Jail, Sheriff's Road, PD's Office, DA's Office, County Probation, Parole, US Probation, FBI, Psych Center, NAMI, City Court Judges, MH and Drug Court, Human Trafficking Court, Veterans Outreach, and County OMH staff all attended the meeting. We discussed current issues and attempted to resolve them. We developed the Release for Purposes of Stabilization process at this meeting. This allows the jail to have truly ill individuals admitted to a hospital for stabilization and then returned to the jail with the cooperation of the judge, hospital administrators and sheriff's staff.

Selected to work with several jail administrators by the New York State Department of Criminal Justice Services (DCJS) to update and redesign the New York State Classification Curriculum. This curriculum is used across New York State to train sworn and civilian staff on classification history, requirements of the law and best practices in order to run a safe and secure facility for inmates and staff alike.

Current member of the Rochester Threat Advisory Committee (ROCTAC) which is a multi-functional threat assessment team. Currently there are 26 member agencies from the FBI, Secret Service, ATF, local law enforcement, school district superintendents, mental health, nonprofits, business HR representatives and colleges on the team. ROCTAC helps presenting agencies to, understand what levels of intervention are justified based upon known risk factors and warning signs for targeted violence (low to high need for interventions) and to connect the presenting agency with other agencies with resources and expertise that can assist in mitigating risk.

Associate Professor Mark J. Concordia
ATAP Certified Threat Manager

(b)(6)

SUMMARY OF QUALIFICATIONS

Accomplished instructor, lecturer, and recognized Threat Assessment and Management subject matter expert. Over 15 years of experience investigating threats and concerning behavior with a nexus to targeted violence. Five years of experience evaluating and developing threat assessment and workplace violence prevention protocols and individualized training packages for K-12, Higher Ed., Law Enforcement, and communities.

Relevant Skills

- Curriculum Development & Training: Multidisciplinary Responses to Intended Violence
- Systems-wide community-based preventative responses to targeted and intended violence
- Threat & Behavioral Intervention Team training and facilitation
- Simulation and Lab-based training
- Research & Scholarship
- Police instruction: Threat Assessment, Homeland Security, Criminal Investigation, Police Supervision, Ethics, Police Community Relations
- Cross-cultural communication: Ethnic and Social Diversity

EDUCATION

- Masters of Science International Studies: St. John Fisher College, Rochester, NY 2009
- Bachelor of Arts Criminal Justice: SUNY College at Brockport 1991

PROFESSIONAL LICENSES/CERTIFICATIONS

2017	Certified Threat Manager: ATAP
2016	Threat Assessment Team Training Certificate
2015	Member Association of Threat Assessment Professionals
2019	Member ASIS: School Safety Committee member
2009	New York State Counterterrorism Law Enforcement Instructor
2006	Federal Bureau of Investigation Instructor
2003	Police Supervision Certification
1998	Federal Series 1811 Criminal Investigator
1993	New York State Full Time Police Officer Certification

PROFESSIONAL EXPERIENCE

Threat Assessment and Management

Roberts Wesleyan College Threat Management Coordinator

CTM: Certified Threat Manager: ATAP

Justice and Security Institute Senior Threat Assessment and Management Trainer

Program and Training Development

2018 -present: Rochester Threat Advisory Committee (ROCTAC): County-wide multisystem consortium that acts as a central point of information sharing and consultation of threat cases with high-needs and accelerating levels of risk for targeted violence.

2018 -present: Developed Behavioral Intervention Team training and Threat Assessment and Management investigative protocols for numerous K-12 school districts and Institutes of Higher Education.

2018-present: Developed 12 hour Basic Threat Assessment Training for School Resource Officers: NY State Department of Homeland Security

2018-present: Developed 12 hour Basic Threat Assessment Training for School Resource Officers: NY State Department of Homeland Security

2018- Present: Consulting- NYS Department of Education & NYS Department of Homeland Security on the development of an investigative protocol to respond to threats of targeted violence

2018-present: Developed college curriculum and instruct Dynamics of Targeted Violence

2015-present Town of Greece Police and Monroe County Mental Health Collaborative Research Project

2016-present Town of Greece Police Arrest Typology Analysis Research Project

2017-present: Rochester Public Safety Diversity Initiative: Community perceptions of police survey

2018- Consulting- NYS Department of Education & NYS Department of Homeland Security on the development of an investigative protocol to respond to threats of targeted violence.

2017- Developed Identifying Exceptional Cases in Law Enforcement: Multidisciplinary Responses and Investigative Protocol for Responding to Threats of Targeted Violence

2016-Robert Wesleyan College Symposium: Monroe County Adult Threat Assessment Team

PROFESSIONAL EXPERIENCE: Law Enforcement

2015-2016 **City of Rochester, Office of Public Integrity, Rochester, NY**

Integrity compliance investigator. Responsibilities include investigation of an allegation of public corruption, fraud, and code of ethics violation for city employees, ethics training, and financial audits.

1999-2014

Town of Greece Police Department, Rochester, NY

Detective Sergeant Criminal Investigation Unit. Crime coordinator- Intelligence Unit and Investigator. Conducted homicide, property crime, robbery, sex crimes, internal affairs, and assault investigations.

Counterterrorism Investigator (2001-2014)

Assigned to the FBI Joint Terrorism Task Force – Responsibilities included conducting high-level national security investigations, intelligence analysis, research, community liaison. Possessed a Top Secret SCI security clearance

1998 to 1999

United States Customs Service, Department of the Treasury, Miami, Florida

Special Agent, Criminal Investigative Division.

Completed the three-month Criminal Investigator Training Program at the Federal Law Enforcement Training Center – Experienced in conducting major criminal investigations of violations of federal law.

PROFESSIONAL EXPERIENCE TEACHING/INSTRUCTING

2006-Present: Associate Professor of Homeland Security and Applied Intelligence

- Founder of the Roberts Wesleyan Justice and Security Institute
- Director of the Homeland Security and Applied Intelligence B.S. program
- FBI and New York State Counterterrorism Instructor – Responsible for training federal and state law enforcement officers in all aspects of counterterrorism investigations and Homeland Security
- Experienced college-level lecturer with expertise in threat assessment and management, Sunni Islamic terrorist groups, counterterrorism trends, Arab culture, Islam and militant Islam
- Developed forty-hour counterterrorism and contemporary issue school offered for college credit hours at the Monroe County Public Safety Training Facility

National & International Conferences

2020: Scheduled(April) presenter: Department of Justice Project Safe Neighborhoods National Convention: Building Community Resiliency to Mitigate Risk of Targeted Violence.

2019 Association of Threat Assessment Professionals National Threat Management Conference: Community-based Strategies to Prevent Targeted Violence: Creating Stakeholder Buy-in.

2019 Department of Justice OPDAT: Threat Assessment and Criminal Investigations presented to Argentina Law Enforcement, Judges, and Prosecutors

2018, Association of Threat Assessment Professionals: Applying the Terrorist Radicalization Assessment Protocol

2008, Western NY Terrorism Trends. Operational Presentation at National Counterterrorism Center, Mclean, Virginia

2006, Western NY Terrorism Trends. Operational Presentation at National Counterterrorism Center, Mclean, Virginia

State and Regional Conferences

2019: State of New York Juvenile Officers and School Resource Officers Conference: Threat Assessment and Management: A Team Approach

2016, Threat Assessment Methodologies, NYSP Counter Terrorism Zone Regional Conference, Buffalo, New York.

2012, Terrorism: Law Enforcement Awareness. Central New York NYSP Counter Terrorism Zone Regional Conference, Syracuse, New York

2012, Terrorism: International and Domestic. Great Lakes Leadership Seminar, Niagara Falls, New York

2011, Terrorist Threat: Law Enforcement Response. Sole Instructor Monroe County Public Safety Training Center, Rochester, New York

1st Deputy Chief
Joseph L. Cecile



Deputy Chiefs
Derek P. McGork
Richard F. Shoff, Jr.
Richard H. Trudell

SYRACUSE POLICE DEPARTMENT

Kenton T. Buckner, Chief

June 1, 2020

Office of Targeted Violence and Terrorism Prevention
Office of Strategy, Policy & Plans
U.S. Department of Homeland Security
2707 Martin Luther King Avenue SE
Washington, DC 20528-0445

RE: Partnership Application for FY20 Targeted Violence & Terrorism Prevention

Dear Grant Review Team:

It is my pleasure to offer a letter in support of the NYS Division of Homeland Security and Emergency Services partnership application for the FY20 Targeted Violence & Terrorism Prevention program [TVTP].

This collaborative effort with area Central New York agencies and the Department of Homeland Security would help to support the threat assessment management in the Capital District region of our state as well as create and support assessment teams in our Central New York region. As violent crimes in the state continues, our agency feels the knowledge and expertise developed through these teams will be paramount in addressing the issue.

Please consider this grant application to assist agencies in increasing their communication, resources and strategies.

I am submitting this *Letter of Support* on behalf of the NYS Division of Homeland Security and Emergency Services application for the FY20 Targeted Violence & Terrorism Prevention grant to meet the needs of our community. You may contact my office if you have questions or would like to discuss further.

Best Regards,

(b)(6)

Kenton Buckner

Chief of Police

Policing the community through partnerships, prevention, and problem-solving.

511 South State Street, Syracuse, NY 13202

315.442.5250

www.syracusepolice.org



MICHAEL S. MONTELEONE
EXECUTIVE UNDERSHERIFF

ALBANY COUNTY SHERIFF'S OFFICE

County Court House Albany, New York 12207 (518) 487-5400
WWW.ALBANYCOUNTYSHERIFF.COM

CRAIG D. APPLE, SR.
SHERIFF



WILLIAM M. RICE
UNDERSHERIFF

LEON A. BORMANN
CHIEF DEPUTY

May 12, 2020

Office of Targeted Violence & Terrorism Prevention
Office of Strategy, Policy & Plans
U.S. Department of Homeland Security
2707 Martin Luther King Avenue SE
Washington, DC 20528-0445

RE: Partnership Application for FY20 Targeted
Violence & Terrorism Prevention

Dear Grant Review Team:

It is my pleasure to offer this letter of support for the NYS Division of Homeland Security & Emergency Services partnership application for the FY20 Targeted Violence & Terrorism Prevention. This partnership with the Department of Homeland Security would help to support the threat assessment management in the Capital District region and compile teams in other parts of upstate New York. As violence in Albany County continues, the knowledge and expertise possessed by these teams would be paramount in addressing this issue. Our agency will assign a member to this program in the furtherance of obtaining the end goals. Therefore, I feel this application should receive consideration to assist agencies in increasing communication, the sharing of prospectives, resources and strategies.

I am submitting this Letter of Support on behalf of the NYS Division of Homeland Security & Emergency Services application for the FY20 Targeted Violence & Terrorism Prevention to meet the needs of our community.

(b)(6)

Craig D. Apple, Sr.
Sheriff



KATHY M. SHEEHAN
MAYOR



CITY OF ALBANY
DEPARTMENT OF POLICE
165 HENRY JOHNSON BOULEVARD
ALBANY, NEW YORK 12210
TELEPHONE (518) 462-8012



ERIC D. HAWKINS
CHIEF OF POLICE

May 27, 2020

Office of Targeted Violence & Terrorism Prevention
Office of Strategy, Policy & Plans
U.S. Department of Homeland Security
2707 Martin Luther King Avenue SE
Washington, DC 20528-0445

RE: Partnership Application for FY20 Targeted
Violence & Terrorism Prevention

Dear Grant Review Team:

It is my pleasure to offer this letter of support for the NYS Division of Homeland Security & Emergency Services partnership application for the FY20 Targeted Violence & Terrorism Prevention. This partnership with the Department of Homeland Security would help to support the threat assessment management in the Capital District region and compile teams in other parts of upstate New York. The knowledge and expertise possessed by these teams would be paramount in addressing issues in the Capital District. Our agency is committed to supporting this program in the furtherance of obtaining the end goals. Therefore, I feel this application should receive consideration to assist agencies in increasing communication, the sharing of prospectives, resources and strategies.

I am submitting this Letter of Support on behalf of the NYS Division of Homeland Security & Emergency Services application for the FY20 Targeted Violence & Terrorism Prevention to meet the needs of our community.

Sincerely,

(b)(6)

ERIC D. HAWKINS
Chief of Police

EDH/et



CITY OF BUFFALO
DEPARTMENT OF POLICE



BYRON W. BROWN
MAYOR

BYRON C. LOCKWOOD
COMMISSIONER

June 1, 2020

Office of Targeted Violence & Terrorism Prevention
Office of Strategy, Policy & Plans
U.S. Department of Homeland Security
2707 Martin Luther King Avenue SE
Washington, DC 20528-0005

REOPartnership Application for FY20 Targeted
Violence & Terrorism Prevention

Dear Grant Review Team,

We would like to offer this letter of support for the NYS Division of homeland Security & Emergency Services partnership application for the FY20 Targeted Violence & Terrorism Prevention. Establishing this partnership will help to support the threat assessment management in the Western New York region. These teams can assist in the development of prevention frameworks with partners to enhance our ability to identify and respond to individuals at risk of mobilizing to violence here in Buffalo, NY. The Buffalo Police Department has already committed a Captain to the position of Crisis Intervention Team Coordinator. With this position our goal is to reduce recidivism with those individuals who suffer from mental illness and are charged with crimes here in the city. I feel this application should receive consideration to assist the Coordinator along with other local agencies with resources, plans of actions and the enhancement of communication to address the issue of terrorism and targeted violence amongst our community.

Sincerely,

(b)(6)

Commissioner Byron C. Lockwood

James E. Turley, Sr.

Objective

Dedicated and accomplished professional with an extensive law enforcement background in leadership, administration, management, command, operations, investigations, training, and grant administration. Long history of successful collaboration with Federal, State, County and Local Law Enforcement and Government Officials. Motivated, hard-working and capable of the effective management of staff and resources to ensure that Organizational expectations, initiatives, and missions are fulfilled.

Functional summary

- Knowledge and experience devising and implementing strategic plans to ensure that the overall core public safety mission objectives are met.
- Extensive labor management experience to include leading a law enforcement agency comprised of over 500 sworn officers and civilian employees.
- Strong project management skills that have positively influenced change.
- Effective conflict resolution experience to include extensive experience in labor management relations with several unions simultaneously.
- Create and oversee outreach and public relations efforts that contribute to positive stakeholder and community support and increased public safety.
- Ability to plan, organize, and direct assignments and investigations effectively for optimal results.
- Exceptional communication skills to include persuasive speaking and report preparation.
- Knowledge and experience with the administration and management of federal homeland security grant funding opportunities as both a grant recipient and State Administrative Agent (SAA).
- Currently possess an FBI Top Secret Clearance as well as a US DOE Q Clearance.

Employment

2/1/2006-Present New York State Division of Homeland Security and Emergency Services,
Office of Counter Terrorism

Law Enforcement Coordinator and Intelligence Analyst, Public Safety Unit

- Office of Counter Terrorism Program Manager for the New York State Bomb Squad Program, Explosive Detection Canine Handler Program, and Tactical Team Program. Responsibilities include prioritizing statewide program objectives and ensuring that projects appropriately align with the NYS Homeland Security Strategy and are meeting the needs of local, county and state law enforcement agencies, as well as supporting federal initiatives.
- Management of the State Radiological Interdiction Program which includes facilitating training opportunities and exercises to support response operations, providing oversight in budget development to include the review and approval of project requests, and the management of federal and state funding to support program initiatives and equipment purchases.
- Coordinated the implementation of the state's Operation Safeguard campaign which includes the messaging and distribution of relevant public service announcements and documentation as well as having delivered awareness training to New York State citizens and the private sector.
- Developed and managed the NYS Red Team initiative. The program is designed to exercise the private sector's awareness-level reporting of suspicious activity, to include recognizing the indicators and warnings of potential terrorism activity utilizing covert activities.
- Support the NYS Emergency Operations Center during activations and exercises by serving in senior level roles including the EOC Manager. Responsibilities include coordinating the information sharing process and assuring that State Agency representatives are supported by managing and allocating essential resources and services during a disaster. Additionally, receiving and prioritizing support requests from local governments. Deployed to the field during the Hurricane Sandy response efforts.
- Manage information flow by acting as the liaison among key local, state and federal officials.

Special Projects Coordinator, Training Division

- Developed and implemented a New York State training strategy with emphasis on law enforcement counter terrorism efforts, which included cross-discipline collaboration.
- Oversight and management of various training initiatives relative to the preparedness and safety of New York State's first responder community to include coordinating and delivering critical training.
- Managed relations with and amongst key stakeholder groups to ensure that statewide capability gaps are identified and homeland security resources are appropriately allocated.
- NYS DCJS Certified Police Instructor, US DHS Certified Instructor.

6/25/79-12/31/05

City of Albany Police Department

Chief of Police, Albany, New York Police Department (5/2004-12/31/2005)

- Effectively managed a law enforcement agency comprised of over 500 civilian and law enforcement officers while maintaining a positive relationship with the community that we served.
- Instituted various crime prevention initiatives to include the NYS DCJS initiative "IMPACT" and the FBI led "Safe Streets" resulting in successful RICO prosecutions.
- Development of a Strategic Plan with the overall objective of lowering crime and increasing public safety and awareness. Executed several programs that supported the core mission statement.
- Management of a budget of over \$36 million and was responsible for the administration of an additional \$8 million in grant funds.

Deputy Chief of Police (7/2001-5/2004)

- Assist in performing administrative duties in planning, organizing, and directing the activities and daily operations of the law enforcement agency under direction and guidance of the Chief of Police.
- Responsible for effectively handling all discipline matters including investigating grievances filed by personnel, negotiating with the respective labor unions, and providing testimony in labor relations hearings.
- Worked closely with the Albany County District Attorney, New York State Police, the Joint Terrorism Task Force, the Drug Enforcement Agency, and the U.S. Attorney's Office in criminal and terrorist related cases.

Police Commander (2/1998-7/2001)

- Assigned to command the Special Operations Unit, which consisted of Traffic Safety, the Mounted Unit, K-9 Unit, Scuba/Marine Patrol, Emergency Services Team, Parking Enforcement and Traffic Engineering. Worked to support the Albany County STOP DWI Coordinator's efforts.
- Night Commander, responsible for citywide operations including patrol, investigations and communications.

Patrol Lieutenant (3/1997-2/1998)

Patrol Sergeant (7/1995-3/1997)

Police Officer (6/1979-7/1995)

Education

Certificate of Criminal Justice Studies, University of Virginia (Graduate 2000)

U.S. Department of Justice, Federal Bureau of Investigation (FBI) National Academy (Graduate 2000)

U.S. Department of Justice, FBI Law Enforcement Executive Development Association (Graduate 2004)

Special Awards

- Double Awardee of the Albany Police Combat Cross (November 1989, May 1996)
- Double Awardee of the Life Saving Medal (May 1995, June 1997)
- Guy Barringer Memorial Award, Albany County Stop DWI (1995)
- American Legion Certificate of Commendation (May 1996)

Professional Affiliations

- International Association of Chiefs of Police
- New York State Association of Chiefs of Police
- FBI National Academy Associates
- Northeast Chiefs of Police Association
- FBI Law Enforcement Executive Association

MEGHAN DUDLEY

(b)(6)

Experience

NYS Division of Homeland Security and Emergency Services (DHSES) – Albany, New York

Served in multiple positions across DHSES, including the State Preparedness Training Center, the Program and Planning Unit (within Grants Program Administration), and the Intelligence and Analysis Unit; significant duties and accomplishments are outlined below.

State Preparedness Training Center (SPTC)

Deputy Director - Training Administration, June 2016 – Present

- Serves as the Deputy Director in charge of Training Administration at the SPTC, which trains approximately 10,000 multi-discipline first responders annually
- Oversees the development, execution, and evaluation of large-scale training events, including the annual Excelsior Challenge (focused on Bomb, Canine, and SWAT integration), Tactical Week, Canine Week, and a new Drone Skills Day competition that occurred in August 2019
- Manages the development and advancement of Unmanned Aircraft Systems (UAS) training programs at the SPTC, ranging from a one-day Awareness Workshop to an intensive five-day Operator Course
- Leads Complex Coordinated Terrorist Attack (CCTA) training efforts in New York, including a major CCTA Capstone Exercise that occurred October 2019; developed the State's application to obtain CCTA grant funding (\$1.37 million total) from the Federal Emergency Management Agency (FEMA)
- Serves as the Co-Chair of the NY/NJ/CT Regional Transit Security Working Group (RTSWG) and coordinates transit security training programs for the SPTC
- Develops training courses, including a new "School Violence: Incident Prevention and Response" Workshop that began in late 2018 and is now delivered statewide by the SPTC for school districts
- Authors numerous articles on SPTC training for first responder publications, including the "Police Chief Magazine" issued by the International Association of Chiefs of Police

Grants Program Administration, Program and Planning Unit

Intelligence Analyst, September 2013 – June 2016

- Served as primary contact for New York State's nationally recognized Bomb Squad Program, which includes targeted funding, annual Bomb Squad Symposiums, required Capability Assessments (through the DHS Office for Bombing Prevention), and the collection of Bomb Squad incident response statistics (through the Bomb Arson Tracking System)
- Guided the development of the New York State's Explosive Detection Canine and Tactical Team Programs, building on the Bomb Squad model, to include targeted funding, conferences, capability assessments, response statistics reporting, and specialized training and exercise opportunities
- Served as the lead staffer for the New York State Homeland Security Senior Advisory Committee (HSSAC), which involved 20+ agencies involved in homeland security and emergency preparedness

- Actively engaged in the creation of numerous risk and capability based assessments, including the County Emergency Preparedness Assessment (CEPA), NYS Threat Hazard Identification and Risk Assessment (THIRA), State Preparedness Report (SPR), and the DHSES Grant Risk Formula
- Authored the 2014-2016 Regional Transit Security Strategy (for transit agencies in the NY/NJ/CT metropolitan area) and drafted major portions of the 2014-2016 NYS Homeland Security Strategy
- Received “Excellence in Public Service Awards” in both 2014 and 2015 for team efforts to develop the NYS Homeland Security Strategy and the County Emergency Preparedness Assessment (CEPA), respectively, from the State Academy for Public Administration (SAPA)
- Managed and led a core team of five staff within the Program and Planning Unit

Intelligence and Analysis Unit

Intelligence Analyst, September 2012 – September 2013

- Served as a DHSES Counterterrorism Intelligence Analyst at the New York State Intelligence Center (NYSIC), which is the designated Fusion Center in the State
- Developed the Intelligence Liaison Officer (ILO) Program to better integrate Fire/EMS professionals into the State’s information-sharing efforts and terrorism awareness programs
- Authored a NYSIC-issued assessment of Active Shooter Events that was disseminated statewide

Grants Program Administration, Program and Planning Unit

Multiple Titles, June 2005 – September 2012

- Served progressively as a Graduate Assistant (2005-2006), Senior Administrative Analyst (2006-2008), Program Representative 2 (2008-2012), and Program Representative 3 (2012)
- Graduated from the State’s prestigious Public Management Internship (PMI) program for employees with advanced degrees beginning their public service careers in New York State
- Developed the New York State application for federal Homeland Security funding (FY2007-2012), including overviews of the State’s risk profile, descriptions of large-scale programs, and risk-based justifications for major homeland security expenditures
- Served as the lead DHSES grant program liaison to the New York City Urban Area

Education

University at Albany, Rockefeller College and Public Affairs and Policy – Albany, New York **Master of Arts, September 2003 – May 2005**

- Major: Political Science, with a concentration in International Relations

Siena College – Loudonville, New York **Bachelor of Arts, September 1999 – May 2003**

- Major: Political Science
- Specialized Experience: Completed an intensive Foreign Policy Program at American University in Washington, DC

Nicole A. Erickson

Profile Excellent reputation for professionalism and productivity. Proficient in computer programs which include Microsoft Office (Word, Excel, Access, PowerPoint, Outlook), Adobe, and web-based products, specifically Survey Monkey, E-Grants, HSIN Connect, WebEx, Statewide Financial System (SFS), and NY Responds. Strong background in research and analysis. Excellent oral and verbal communication skills. Highly motivated with a strong work ethic and over ten years working in grants program management and over fifteen years of state service.

Employment 10/17/19-present New York State Division of Homeland Security and Emergency Services
Grants Program Administration

Public Safety Grants Representative 3 (Salary Grade 25)

- Manage the daily operations of the Program and Planning Unit to include three (3) staff members and fourteen (14) federal grant programs and four (4) state grant programs.
- Programmatic management of law enforcement grant programs that support counter-terrorism response operations which include the Bomb Squad Initiative, Explosive Detection Canine Team Grant Program, and the Tactical Team Grant Programs.
- Coordinate with various stakeholder groups on homeland security related initiatives to include local, state and federal partners within the first responder communities.
- Aid in the administration of the state funded Statewide Interoperability Communication Grant Program (SICG) and the Public Safety Answering Points (PSAP) Grant Program.
- Responsible for the planning, coordination and development of New York State's annual application for federal homeland security funding.
- Review, assess and analyze program effectiveness to ensure that objectives are met, and proper performance metrics are in place.
- Routine analysis of County Emergency Preparedness Assessment (CEPA) and DHS/FEMA's THIRA/SPR data to help aid in application development and inform program objectives within the local grant guidance.
- Development of the Cyber Security Grant Program which included research and analysis of capability gaps and current cyber threats, the coordination with subject matter experts, the development of application materials, and devising an implementation strategy and outreach plan for execution.
- Present at various outreach events that include multiple stakeholder groups from law enforcement, emergency management, fire service, and the intelligence communities.
- Drafting of planning aids and reporting models to improve efficiencies in the grants management process for recipients of funding.
- Supervision of professional staff.

3/23/17-10/16/19 New York State Division of Homeland Security and Emergency Services
Grants Program Administration

Public Safety Grants Representative 2 (Salary Grade 23)

- Programmatic management of law enforcement grant programs that support counter-terrorism response operations which include the Bomb Squad Initiative, Explosive Detection Canine Team Grant Program, and the Tactical Team Grant Programs.
- Coordinate with various stakeholder groups on homeland security related initiatives to include local, state and federal partners within the first responder communities.
- Aid in the administration of the state funded Statewide Interoperability Communication Grant Program (SICG) and the Public Safety Answering Points (PSAP) Grant Program.
- Responsible for the planning, coordination and development of New York State's annual application for federal homeland security funding.
- Review, assess and analyze program effectiveness to ensure that objectives are met, and proper performance metrics are in place.

- Routine analysis of County Emergency Preparedness Assessment (CEPA) data to help aid in application development and inform program objectives within the local grant guidance.
- Development of the Cyber Security Grant Program which included research and analysis of capability gaps and current cyber threats, the coordination with subject matter experts, the development of application materials, and devising an implementation strategy and outreach plan for execution.
- Present at various outreach events that include multiple stakeholder groups from law enforcement, emergency management, fire service, and the intelligence communities.
- Drafting of planning aids and reporting models to improve efficiencies in the grants management process for recipients of funding.
- Supervision of professional staff.

5/7/16-3/22/17

New York State Division of Homeland Security and Emergency Services
Office of Disaster Recovery Programs, Finance and Administration

DHSES Program Administrator 2 (Salary Grade 23)

- Facilitate audit related requests to include those associated with the New York State Single Audit, FEMA Compliance Review, along with the Office of the Inspector General audits. This includes assisting with collection of data and relevant documentation, drafting response language, tracking deadlines, and following up with the resolution of identified Corrective Action Plan (CAP) items.
- Responsible for coordinating the Internal Controls review process to include the evaluation of existing procedures, testing of control functions and the execution of Corrective Action Plans, if necessary.
- Provide strategic oversight and daily management of the policy and procedural documentation process for Recovery Finance. This process includes the development of a project plan, facilitating the kick-off meeting, regularly corresponding with affected staff, management and tracking of the project timeline and objectives to ensure successful and timely completion of deliverables.
- Formalized the procedures for compliance with the Federal Funding Accountability and Transparency Act.
- Work closely with the Fiscal Monitoring Unit in effort to build a Subrecipient Monitoring capability for the Hazard Mitigation Grant Program.
- Coordinate training opportunities for staff that include review of new federal requirements and statutes (2 CFR 200) as well as a conducting analysis of relevant adjustments to existing federal policies and guidance documentation.

1/22/08-5/6/16

New York State Division of Homeland Security and Emergency Services
Grants Program Administration

Criminal Justice Program Representative 1 (Salary Grade 18)

- Program lead for the Tactical Team Grant Program which includes the drafting of the Request for Application, managing the application review process, providing funding recommendations, briefing senior staff, presenting to stakeholders, and developing reference materials as well as post-award management of the program.
- Assist with coordination of the Hazardous Materials Grant Program, Bomb Squad Grant Program, and Explosive Detection Canine Handler Grant Programs. Provided guidance
- Support the Emergency Operations Center during activations by serving in the planning unit and drafting the Executive Situation Report that is utilized to inform the Executive Chamber.
- Coordinate outreach to various local stakeholders which includes the organizing and execution of various informational sessions, workshops, and multi-disciplinary trainings on an annual basis.
- Facilitation of webinars through HSIN Connect for the purpose of providing technical assistance to local stakeholders in New York State.
- Develop, prepare and present after action reviews of events including organizing, compiling, and the discussion of action items based on the analysis of the feedback obtained.
- Coordinate information flow by acting as the liaison among key executives, staff, other employees, and the general public.
- Supervision of subordinate staff.

Secretary 1 (Salary Grade 11)

- Coordinated annual Regional Workshops held statewide (300+attendees across 7 meetings) including handling all administrative aspects to include proper procurement and budgeting as well as providing logistical and technical support at the events.
- Development of monthly reports, correspondence, spreadsheets, and other various documents for senior management.
- Responsible for making travel arrangements for senior staff as well as preparing and processing vouchers in compliance with New York State Office of General Services regulations.
- Provided grant support to various staff members including: assisting in the drafting of the Request for Applications (RFA) for the Port Security Grant Program, devising Investment Justification checklists to assist with application development, and coordinating various informational sessions and workshops for grantees.
- Responsible for drafting meeting minutes and providing administrative support to the New York State Homeland Security Strategy Work Group.

4/07/05 to 1/21/08 Workers' Compensation Board of New York State

Assistant Workers Compensation Examiner (Salary Grade 9)

- Primary responsibility was acting as the initial point of contact between the employers and the Judgment Unit with both written and verbal communication.
- Effectively communicating with employers who are facing fines, penalties and judgments for failure to comply with Workers Compensation Law by corresponding both verbally and in writing.
- Created and implemented new procedures in the Judgment Unit which reduced the response time to correspondence from 180 days to 90 days or less.
- Prepared legal documents in response to bankruptcy proceedings, foreclosure summons, and designated litigation matters under the direction of Counsel within the Judgment Unit.

7/23/00 to 4/01/05 New York Business Development Corporation

Legal Assistant to the Executive Vice President and COO

- Acted as liaison between bankers, borrowers, and attorneys seeking to close pending commercial real estate transactions by coordinating the influx of required documentation, verifying the consistency with proper authorizations, and drafting appropriate legal documents in accordance with bank approvals and U.S. Small Business Administration authorizations.
- Formulated reporting procedures greatly improving efficiency and remaining consistent with regulatory requirements.
- Coordinated **World Trade Center Small Business Recovery Program** to assist the New York City small business community with recovery efforts after the attack of September 11.
- The administration and maintenance of a loan portfolio valued in the millions, responsibilities including, but not limited to, servicing, financial reporting, closing, and collections.

Education**B.A. Political Science**

1996-2000 State University of New York College at Potsdam
Potsdam, New York

**Special
Awards**

- **Team Recipient of the NYS Academy for Public Administration “Public Service Excellence Award” in 2014 for the work on the NYS Division of Homeland Security and Emergency Services, Homeland Security Strategy**

Personal

- Motivated, Dedicated, Hardworking, Team Player, Quick Learner, and Responsible.

References available upon request

EMW-2020-GR-APP-00028

Application Information

Application Number: EMW-2020-GR-APP-00028

Funding Opportunity Name: Fiscal Year (FY) 2020 Targeted Violence and Terrorism Prevention (TVTP) Program

Funding Opportunity Number: DHS-20-TTP-132-00-01

Application Status: Pending Review

Applicant Information

Legal Name: NYS Division of Homeland Security and Emergency Services

Organization ID: 789

Type: State governments

Division:

Department:

EIN: (b)(6)

EIN Shared With Organizations: All NYS agencies use this EIN #

DUNS: 616804055

DUNS 4: 0000

Congressional District: Congressional District 01, NY

Physical Address

Address Line 1: 1220 Washington Avenue

Address Line 2: State Campus Building 7A 6th flr

City: Albany

State: New York

Province:

Zip: 12242-[Grantee Organization > Physical Address > Zip 4]

Country: UNITED STATES

Mailing Address

Address Line 1: 1220 Washington Avenue

Address Line 2: State Campus Building 7A 6th flr

City: Albany

State: New York

Province:

Zip: 12242-[Grantee Organization > Mailing Address > Zip 4]

Country: UNITED STATES

SF-424 Information

Project Information

Project Title: FY2020 Targeted Violence and Terrorism Prevention (TVTP) Grant Program

Program/Project Congressional Districts: Congressional District 20, NY

Congressional District 24, NY

Congressional District 25, NY

Congressional District 26, NY

Proposed Start Date: Thu Oct 01 00:00:00 GMT 2020

Proposed End Date: Fri Sep 30 00:00:00 GMT 2022

Areas Affected by Project (Cities, Counties, States, etc.): Albany, Syracuse, Rochester, Buffalo

Estimated Funding

Funding Source	Estimated Funding (\$)
Federal Funding	\$300000
Applicant Funding	\$0
State Funding	\$0
Local Funding	\$0
Other Funding	\$0
Program Income Funding	\$0
Total Funding	\$300000

Is application subject to review by state under the Executive Order 12373 process? Program is subject to E.O. 12372 but has not been selected by the State for review.

Is applicant delinquent on any federal debt? false

Contacts

Contact Name	Email	Primary Phone Number	Contact Types
Eric Abramson	(b)(6)		Authorized Official Primary Contact Signatory Authority
Carol Stumpf			Secondary Contact

SF-424A

Budget Information for Non-Construction Programs

Grant Program: Targeted Violence and Terrorism Prevention Grant Program

CFDA Number: 97.132

Budget Object Class	Amount
Personnel	\$7850
Fringe Benefits	\$0
Travel	\$15000
Equipment	\$0
Supplies	\$10000
Contractual	\$122000
Construction	\$0
Other	\$10000
Indirect Charges	\$0
Non-Federal Resources	Amount
Applicant	\$0
State	\$0
Other	\$10000
Income	Amount
Program Income	\$0

How are you requesting to use this Program Income? [\$budget.programIncomeType]

Direct Charges Explanation:

Indirect Charges explanation:

Forecasted Cash Needs (Optional)

	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Federal	\$	\$	\$	\$
Non-Federal	\$	\$	\$	\$

Future Funding Periods (Years) (Optional)

First	Second	Third	Fourth
\$	\$	\$	\$

Remarks:

SF-424C

Budget Information for Construction Programs

Assurances for Non-Construction Programs

Form not applicable? false

Signatory Authority Name: Eric Abramson

Signed Date: Tue Jun 16 00:00:00 GMT 2020

Signatory Authority Title: Acting Director of Grants Program Admin.

Certification Regarding Lobbying

Form not applicable? false

Signatory Authority Name: Eric Abramson

Signed Date: Tue Jun 16 00:00:00 GMT 2020

Signatory Authority Title: Acting Director of Grants Program Admin.

Disclosure of Lobbying Activities

Form not applicable? true

Signatory Authority Name: Eric Abramson

Signed Date:

Signatory Authority Title: